

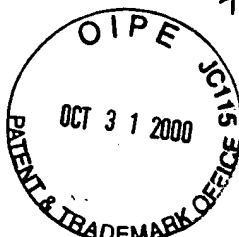


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/608,996	06/30/2000	2766	846	042390.18384	7	16	5

Aloysius T C AuYeung  
Blakely Sokoloff Taylor & Zafman LLP  
12400 Wilshire Boulevard Seventh Floor  
Los Angeles, CA 90025



FILING RECEIPT



\*OC000000005360769\*

Date Mailed: 08/29/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Robert W. Faber, Hillsboro, OR ;  
Brendan Traw, Portland, OR ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/385,590 08/29/1999  
WHICH IS A CIP OF 09/385,592 08/29/1999

## Foreign Applications

If Required, Foreign Filing License Granted 08/29/2000

## Title

Method and apparatus for protecting copy control information provided to a video recording device

## Preliminary Class

380

Data entry by : MOLLISH, CHRISTINE

Team : OIPE

Date: 08/29/2000

ENTERED

SEP 06 2000

STATUS DB-LA

8/29/00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert W. Faber et al.

Serial No.: 09/608,996

Filed: June 30, 2000

For: Method And Apparatus For Protecting Copy Control  
Information Provided To A Video Recording Device

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231



Examiner: Unassigned

Art Unit: 2766

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)**

Sir:

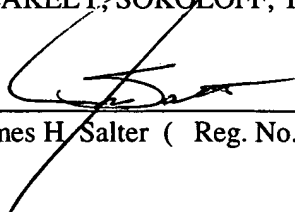
In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed August 29, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application; and
- (4) a Request For Correction Of Filing Receipt.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10/27, 2000

  
James H. Salter ( Reg. No. 35,668)

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

**FIRST CLASS CERTIFICATE OF MAILING**  
**(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/27/00.

Claire Wallters

Name of Person Mailing Correspondence

Claire Wallters

Signature

October 27, 2000

Date

Atty Docket No. 42390.P8384X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert W. Faber et al.

Application No.: 09/608,996

Filed: June 30, 2000

For: METHOD AND APPARATUS FOR  
PROTECTING COPY CONTROL INFORMATION  
PROVIDED TO A VIDEO RECORDING DEVICE

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231



Examiner: Not yet assigned

Art Unit: 2766

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

In the Filing Receipt for the above-referenced patent application filed on June 30, 2000, the Attorney Docket Number was recorded incorrectly as follows:

42390.P8384

The correct Attorney Docket Number is as follows:

42390.P8384X

Please have the Filing Receipt changed to reflect the correct Attorney Docket Number. Enclosed is a copy of the incorrect Filing Receipt with the change noted thereon.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 10/27/2000

James H. Salter  
Reg. No. 35,668

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(408)720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on October 27, 2000  
Date of Deposit  
Claire Walters  
Name of Person Mailing Correspondence  
Claire Walters 10/27/00  
Signature Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/608,996	06/30/2000	Robert W. Faber	042390.P8384

Aloysius T C AuYeung  
Blakely Sokoloff Taylor & Zafman LLP  
12400 Wilshire Boulevard Seventh Floor  
Los Angeles, CA 90025

**RECEIVED**  
SEP 05 2000

## FORMALITIES LETTER



\*OC000000005360770\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN  
LOS ANGELES

Date Mailed: 08/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*G. Moller*

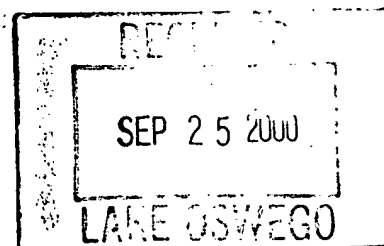
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/02/2000 HN00R1 00000015 09608996

01 FC:105 130.00 OP





PTO/SB/17(10/96)

Approved for use through 09/30/01. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to  
respond to a collection of information unless it displays a valid OMB  
control number.

**FEE TRANSMITTAL****TOTAL AMOUNT OF PAYMENT (\$)** 130.00**Complete if Known:**

Application No. 09/608,996  
Filing Date June 30, 2000  
First Named Inventor Robert W. Faber et al.  
Group Art Unit 2766  
Examiner Name Unassigned  
Attorney Docket No. 42390.P8384X

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit  
any over payments to:

Deposit Account Number \_\_\_\_\_  
Deposit Account Name \_\_\_\_\_

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, and charge any deficiencies to  
Deposit Account Number 02-2666, and credit any over payments to Deposit Account Number 02-2666

- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the  
Notice of Allowance, 37 CFR 1.131(b)

2. ☒ Payment Enclosed  
☒ Check  
\_\_\_\_ Money Order  
\_\_\_\_ Other

**FEE CALCULATION (fees effective 10/01/00)****1. FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
101	710	201	355	Utility application filing fee	_____
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
<b>SUBTOTAL (1)</b>					<b>\$ 0</b>

**2. CLAIMS**

		<u>Extra</u>	<u>Fee from</u>	<u>Fee Paid</u>
			<u>below</u>	
Total Claims	_____ - 20 = _____	X	_____	= _____
Independent Claims	_____ - 3 = _____	X	_____	= _____
Multiple Dependent Claims	_____	X	_____	= _____

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
103	18	203	9	Claims in excess of twenty	_____
102	80	202	40	Independent claims in excess of 3	_____
104	270	204	135	Multiple dependent claim	_____
109	80	209	40	Reissue independent claims over original patent	_____
110	18	210	9	Reissue claims in excess of 20 and over original patent	_____
<b>SUBTOTAL (2)</b>					<b>\$ 0</b>

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	<u>130.00</u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	390	216	195	Extension for response within second month	_____
117	890	217	445	Extension for response within third month	_____
118	1,390	218	695	Extension for response within fourth month	_____
128	1,890	228	945	Extension for response within fifth month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,240	241	620	Petition to revive unintentionally abandoned application	_____
142	1,240	242	620	Utility issue fee (or reissue)	_____
143	440	243	220	Design issue fee	_____
144	600	244	300	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	710	246	355	For filing a submission after final rejection	_____
149	710	249	355	For each additional invention to be examined	_____

Other: \_\_\_\_\_

**SUBTOTAL (3) \$ 130.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: James H. Salter

Signature \_\_\_\_\_

Date 10/27/00

Reg. Number 35,668

Customer No. \_\_\_\_\_

(complete if applicable)

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C.

20231 on October 27, 2000.

Claire Wallters

Name of Person Mailing Correspondence

Claire Wallters

Signature

10/27/00

Date